

09/19/00

09-20-00

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UTILITY PATENT APPLICATION TRANSMITTAL

Address to:

Box PATENT APPLICATION
 Commissioner for Patents
 United States Patent & Trademark Office
 Washington, DC 20231

Attorney Docket No.

LYON 0117 PUS

Inventor(s) or Application Identifier:

Robert W. Mason

jc926 U.S. PRO

09/664105

1. This application entitled NON-PHOSGENE ROUTE TO THE MANUFACTURE OF ORGANIC ISOCYANATES is:

- a. ☒ A new application under 37 C.F.R. §1.53(b).
- b. ☐ A ☐ continuation ☐ divisional or ☐ continuation-in-part application under 37 C.F.R. § 1.53(b) of prior application Serial No. / filed on entitled .

Application elements and other attached papers:

2. ☒ Specification (incl. Claims and Abstract) [Total Pages 24]
3. ☐ Drawings (☐ informal ☐ formal) [Total Sheets ☐
4. ☒ Oath or Declaration
- a. ☒ Newly-executed
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
5. ☐ Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
- a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
- b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this UTILITY PATENT APPLICATION TRANSMITTAL and the documents referred to as attached therein are being deposited on the below date with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" addressed to: Box Patent Application, Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231.

Express

Mail Label No. EL 481 734 478 USShelly Kean

(Type or print name of person mailing paper)

Date of Deposit: September 19, 2000

Shelly Kean
 (Signature of person mailing paper)

7. Preliminary Amendment:

- a. ☐ A Preliminary Amendment is attached.
- b. ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee.
- c. ☐ Please amend the specification by inserting before the first line the sentence:
- "This is a
☐ continuation
☐ divisional
of copending application(s)
Serial number _____ / _____ filed on _____."
- d. ☐ A Petition to Suspend Prosecution For The Time Necessary to File An Amendment (New Application Filed Concurrently) is attached.

8. Small entity status:

- a. ☐ A small entity statement is attached.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer desired.

9. Fee Calculation:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS (37 C.F.R. § 1.16(c))	25 -20 -	5	X 18.00	90.00
INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))	4 -3 -	1	X 78.00	78.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. §1.16(d))			260.00	0
			BASIC FEE (37 C.F.R. § 1.16(a))	690.00
Total of above Calculations =				858.00
Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				0
Assignment Recordal Fee			40.00	40.00
TOTAL =				898.00

10. ☒ A check in the amount of \$ 898.00 is enclosed.
11. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees (or any deficiency therein) to Deposit Account No. 02-3978:
- a. ☒ Fees required under 37 C.F.R. § 1.16.
- b. ☒ Fees required under 37 C.F.R. § 1.17.

12. Maintenance of Copendency of Prior Application

☐ A request for extension of time and the appropriate fee have been filed in the pending **prior** application (or are being filed in the prior application concurrently herewith) to extend the period for response until _____.

13. ☐ An Information Disclosure Statement (IDS) is attached, along with the following indicated attachments thereto:

a. ☐ Form PTO/SB/08 (_____ sheet(s))

b. ☐ Copies of references cited

14. ☐ Certified copy of priority document(s)

15. ☒ Return Receipt Postcard

16. ☐ Other: _____

17. ☒ An Assignment of the invention to Lyondell Chemical Company

a. ☒ is attached.

b. ☐ was recorded on _____ at Reel _____, Frame _____.

18. The power of attorney in the prior application is to:

Name of Attorney of Record

Reg. No.

☐ The power appears in the original papers in the prior application.

☐ The power does not appear in the original papers, but was filed on _____.

☐ A new power has been executed and is attached.

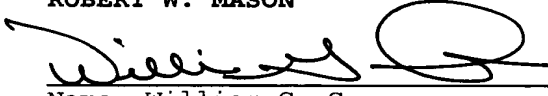
19. Correspondence Address: Please address all future communications to:

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Respectfully submitted,

ROBERT W. MASON

Date September 19, 2000


Name: William G. Conger
Registration No.: 31,209

☒ Attorney or agent of record
☐ Filed under Rule 34(a)